

Note on the 2004 private enterprise survey conducted by the Chinese Academy of Social Sciences and HKUST (chapter 4, chapter 7)

(1) The survey was jointly designed and conducted by researchers from the CASS Centre for Research on Private Enterprises and the Social Science Division of Hong Kong University of Science and Technology.

(2) The survey covered 511 private enterprises in 8 provinces:

Guangdong 广东 (n=61)

Hunan 湖南 (n=64)

Jiangsu 江苏 (n=103)

Liaoning 辽宁 (n=50)

Shaanxi 陕西 (n=57)

Shandong 山东 (n=50)

Sichuan 四川 (n=50)

Zhejiang 浙江 (n=76)

(3) These provinces were selected because the CASS researchers were able to enlist assistance from the provincial offices of the State Administration of Industry and Commerce (SAIC) 国家工商, which is the licensing and regulatory authority over private enterprise. The number of enterprises in each province reflects the extent of local SAIC assistance obtained by the CASS researchers.

(4) In each of these provinces the local SAIC contacts identified a few cities and counties where survey access could be arranged. These jurisdictions are listed below:

广东湛江吴川市	8
广东高州市	21
广东湛江市市区	21
广东江门市	11
湖南长沙市芙蓉区	12
湖南长沙市岳麓区	2
湖南长沙市开福区	8
湖南长沙市天心区	2
湖南长沙市长沙县	2
湖南湘潭市市区	15
湖南湘潭市湘潭县	5
湖南湘潭市湘乡市	5
湖南益阳市	13

辽宁大连市	30
辽宁本溪市	20
江苏南京市	15
江苏连云港市	9
江苏泰州市	30
江苏苏州市	8
江苏无锡市	10
江苏南通市	14
江苏镇江市	12
江苏扬州市	5
陕西西安市	15
陕西咸阳市	13
陕西渭南市	14
陕西户县	15
山东淄博市	27
山东菏泽市	23
四川成都市	39
四川新都县	6
四川简阳市	4
四川乐山市	1
浙江台州椒江市	11
浙江台州玉环市	18
浙江泰州三门市	11
浙江温州苍南县	36

(5) The number of private enterprises in each location for inclusion in the provincial sample was determined by its share (weighted by local population) in the gross industrial output of private enterprises in the selected locations in 1997, when the CCP held its fifteenth party congress and decided to introduce ownership restructuring (chapter 7).

(6) After the number of enterprises to be surveyed in each location was determined, a random sample of private enterprises was drawn from the roster of the local company registry with the following criteria: (a) having been in operation for at least two years; (b) having main business in the industrial sector; (c) having a workforce of at least 30.

(7) The original Chinese questionnaire of the survey is posted here.